

CURRICULUM VITAE

Name: Marvin Stanley Swartz, M.D.

Phone: Office: (919)684-8676

Education:

- 1968-72 Harvard College, Cambridge, MA. A.B., cum laude (Social Relations).
- 1973-75 Harvard College, Cambridge, MA. Special graduate studies, (pre-medical).
- 1976-80 Tufts University School of Medicine, Boston, MA. M.D.
- 1980-81 Intern, University of Hawaii Integrated Flexible Program, Honolulu, Hawaii.
- 1981-84 Resident, Department of Psychiatry, Duke University Medical Center, Durham, NC.
- 1986-91 Duke University School of Medicine, Durham, NC. Course work for Masters in Health Science (Biometry)

Physician's Certification:

Diplomate, National Board of Medical Examiners  
Licensee, Board of Medical Examiners of State of NC  
Board Certified, American Board of Psychiatry and Neurology  
Distinguished Fellow, American Psychiatric Association

Positions Held:

- 1982-88 Consulting Psychiatrist, North Carolina Department of Corrections.
- 1984-92 Assistant Medical Director, Acute Psychiatric Service, Duke University Medical Center.
- 1984-85 Associate in Psychiatry, Division of Social and Community Psychiatry, Duke University Medical Center.
- 1984- Co-Investigator, Duke University Epidemiologic Catchment Area Project.
- 1985-87 Director of Training, Division of Social and Community Psychiatry, Duke University Medical Center.
- 1985-86 Medical Director, Acute Psychiatric Service and Personality Studies Unit, Duke University Medical Center.
- 1985-90 Assistant Professor of Psychiatry, Division of Social and Community Psychiatry, Duke University Medical Center.
- 1986-87 Director, Emergency Psychiatry Service, Duke University Medical Center.
- 1986-92 Assistant Clinical Director, Psychiatry Inpatient Service, Duke University Medical

- Center.
- 1987- Head, Division of Social and Community Psychiatry, Duke University Medical Center.
- 1990- Associate Professor of Psychiatry, Division of Social and Community Psychiatry, Duke University Medical Center.
- 1995-99 Associate Professor of Psychiatry & Behavioral Sciences, with tenure, Duke University Medical Center.
- 1999- Professor of Psychiatry & Behavioral Sciences, with tenure, Duke University Medical Center.
- 1992-97 Vice-Chairman for Clinical Services, Dept. of Psychiatry, Duke University Medical Center.
- 1995- Research Fellow, Cecil G. Sheps Center for Health Services Research, UNC-Chapel Hill
- 1996- Senior Fellow, Center for Health Policy Research and Education, Duke University
- 1996-97 Interim Director, Duke Area Health Education Center
- 1997- Director, Duke Area Health Education Center
- 2003- Fellow, Duke University Health Inequalities Program

Societies:

American Psychiatric Association  
 North Carolina Psychiatric Association  
 American Academy of Psychiatry and Law  
 American Public Health Association  
 American Psychopathological Association  
 American College of Mental Health Administration

Honors:

- 1971 John Harvard Book Award (Harvard College).
- 1980 Alpha Omega Alpha (Tufts University School of Medicine).
- 1983 Resident Achievement Award, North Carolina Neuropsychiatric Association.
- 1986-87 Honored Teacher Award, Department of Psychiatry, Duke University Medical Center.
- 1992 Exemplary Psychiatrist Award, National Alliance for the Mentally Ill
- 1994 Gold Apple, National Education Film & Video Festival (for *Hospital Without Walls*)
- 1994 Finalist, International Health & Medical Film Festival (for *Hospital Without Walls*)
- 1996 Finalist, Telly Awards (for *Uncertain Journey: Families Coping with Serious Mental Illness*)
- 1996 Gold CINDY (Cinema in Industry) certificate, International Association of Audio Visual Communicators (for *Uncertain Journey: Families Coping with Serious Mental Illness*)

- 1996 First Place Award - Patient Care Category, FREDDIE award, American Medical Association International Health & Medical Film Festival (for *Uncertain Journey: Families Coping with Serious Mental Illness*)
- 1996 Bronze Plaque Award, The Film Council of Greater Columbus/44th Columbus International Film & Video Festival (for *Uncertain Journey: Families Coping with Serious Mental Illness*)
- 1997 Exemplary Psychiatrist Award, North Carolina Alliance for the Mentally Ill
- 1997 Eugene A. Hargrove Mental Health Research Award
- 1996 Bronze Apple Award, National Educational Media Network (for *Never Too Far: Rural Outreach for Serious Mental Illness*)
- 2000 Finalist Certificate, The New York Festivals Film & Video (Non-Broadcast) Competition (for *Go For It: A Future in Healthcare*)

Professional and Educational Activities:

Advisory Boards and Committees:

Duke University Medical Center:

- 1993 Member, Physician Leadership Group for Managed Care
- 1993 Member, Primary Care Operations and Planning Committee
- 1993-96 Member, Clinical Practice Committee
- 1995-96 Member, Private Diagnostic Clinic Operating Committee

Community/Organizations:

- 1985-87 Board Member, Helpline, Durham, NC
- 1985-87 Board of Directors, Threshold, Inc., Durham, NC
- 1992-94 Member, Diagnostic and Statistical Manual IV, Axis II, Advisory Board, American Psychiatric Association
- 1992-93 Chairman, Distinguished Citizens Advisory Group on Mental Illness Insurance Study, North Carolina Alliance for the Mentally Ill
- 1993-95 Board Member, N. C. Alliance for the Mentally Ill Advisory Board, Raleigh, NC
- 1993 Member, Little Rock Working Group on Mental and Substance Abuse Disorders in Health Care Reform
- 1993-94 Member, Integrated Funding Implementation Advisory Committee, N.C. Division of Human Resources, Division of Mental Health, Developmental Disabilities, and Substance Abuse Services
- 1993-94 Member, Nominating Committee, N. C. Psychiatric Association
- 1994 Chair, Program Committee, N. C. Psychiatric Association.
- 1995- Member, Board of Trustees, Fayetteville Area Health Education Foundation.
- 1995-97 Member, Advisory Board, NC Alliance for the Mentally Ill
- 1998- Member, Board of Directors, N.C. Foundation for Mental Health Research, Inc.
- 1999 Chair, Program Committee, North Carolina Psychiatric Association.
- 2000 Consultant to N.C. Institute of Medicine & N.C. State Auditor's Office for

Auditor's Report on the N.C. Mental Health System  
 1999-99 Member, N.C. Division of Health & Human Services, Advisory  
 Committee for N.C. State Mental Health Reform Plan  
 2000 Member, Search Committee, N.C. Director of the Division of MH/DD/SA Services  
 2001 Member, N.C. Commission for MH/DD/SA Services  
 2002-07 Member, American Psychiatric Association Council on Quality Care

Grant and Contract Support:

1. Developing a Screening Index for Borderline Personality Disorder. Grant from AW Mellon Foundation to Duke University Medical Center Clinical Epidemiology Unit. Small grant investigator, 1987.
2. Risk Factors for Psychiatric Disorders. NIMH  
 P.I. Linda George, Ph.D. Co-investigator, July 1988 - July 1991.
3. Training Curriculum for Mental Health Professionals Working with Severe and Persistent Mental Illness. Contract with N.C. Division of Mental Health, Mental Retardation, and Substance Abuse, 1989.
4. Collaborating with Families with Severe and Persistent Mental Illness.  
 Contract with N.C. Area Health Education Centers and N.C. Division of Mental Health, Mental Retardation, and Substance Abuse, 1989-1990.
5. Epidemiology: Alcohol, Drug Abuse and Mental Disorders, NIMH.  
 P.I. Ron Kessler, Ph.D.; Consultant to National Comorbidity Study Research. Advisory Group, October 1989-September 1994.
6. Research Training in Mental Health Services and Systems, NIMH.  
 Program Director, Joseph Morrissey, Ph.D.; Program Faculty, 1990-1993.
7. The Effectiveness of Involuntary Outpatient Commitment, NIMH.  
 P.I. Marvin Swartz, M.D., 1992-1999.
8. Program on Services Research for People with Severe Mental Disorders, NIMH.  
 P.I. Joe Morrissey, Ph.D.; Co-Director, 1993-1998.
9. Research Study on Involuntary Outpatient Mental Health Treatment, State of New York  
 P.I. Hank Steadman, Ph.D.; Co-P.I., 1995-1999.
10. Assessing HIV and Associated Health Risks in People with Severe Mental Illness, NIMH  
 P.I. Marvin Swartz, M.D., 1996-1999.

11. HIV Seroprevalence and Risks in Veterans with Severe Mental Illness, V.A.  
P.I. Marian Butterfield, M.D., MPH; Consultant, 1998-2001.
12. Schizophrenia Care & Assessment Program, Eli Lilly & Co.  
P.I. Jeffrey Swanson, Ph.D.; Co-P.I., 1997-2002.
13. Better Specifying the Relationship Between Clinical Characteristics, Illicit Drug Use and  
Treatment Outcomes in Schizophrenia, Stanley Foundation  
P.I. Marvin Swartz, M.D., 1998-2000.
14. Relationship of Stress Exposure to Health in Gulf War Veterans, Dept. of Defense  
P.I. John Fairbank, Ph.D.; Co-Investigator, 1998-2002.
15. A HIV-AIDS Treatment Adherence, Health Outcomes and Cost Study, SAMSHA  
P.I. Kate Whetten-Goldstein, Ph.D.; Co-P.I., 1998-2003.
16. The Effectiveness of Involuntary Outpatient Commitment, Competing Continuation, NIMH  
P.I. Marvin Swartz, M.D. 1999-2002
17. Clinical Antipsychotic Trials of Intervention Effectiveness, NIMH  
P.I. Jeff Lieberman, M.D.; Co-Investigator, 1999-2004
18. Formats for Assessing HIV Risk in Severely Mentally Ill People, NIMH  
P.I. George Wolford, Ph.D.; Co-Investigator, 1999-2001
19. MacArthur Foundation Initiative on Mandated Community Treatment, MacArthur Foundation  
P.I. John Monahan, Ph.D.; Investigator, 2000-

Reviewer and Editorial Boards:

Reviewer:

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|-------|---|
| 1987- | American Journal of Public Health               |
| 1988- | Journal of American Medical Association         |
| 1989- | Psychosomatics                                  |
| 1989- | Psychosomatic Medicine                          |
| 1989- | Journal of Nervous and Mental Disease           |
| 1989- | International Journal of Psychiatry in Medicine |
| 1991- | American Journal of Psychiatry                  |
| 1992- | Journal of Personality Disorders                |
| 1992- | American Journal of Geriatric Psychiatry        |
| 1993- | Health Services Research                        |
| 1996- | Milbank Quarterly                               |
| 1996- | Harvard Review of Psychiatry                    |

2000- Schizophrenia Bulletin  
2000- Psychiatric Services  
2001- European Archives of Psychiatry & Clinical Neuroscience  
2002- The Journal of Forensic Psychiatry  
2002- Neuropsychopharmacology

Editorial Board:

1992-2001 Journal of Personality Disorders

Publications:

1) Refereed journals

Published:

1. Swartz MS, Cavenar JO et al. A case of intermittent delirious mania. American Journal of Psychiatry 1982; 139:1357-58.
2. Swartz MS, Cavenar JO. Countertransference in expectant fathers. Psychosomatics 1984; 25:566-68.
3. Krishnan KR, Swartz MS et al. Funeral mania in recurrent bipolar disorders: Report of three cases. Journal of Clinical Psychiatry 1984; 45:310-11.
4. Davidson J, Swartz MS, Storck M et al. A diagnostic and family study of post-traumatic stress disorder. American Journal of Psychiatry 1985; 42:90-93.
5. Hargett AB, Taska RJ, Swartz MS et al. A unique nursing and milieu approach to treating borderline patients. North Carolina Journal Mental Health 1985; 21:35-39.
6. Taska RJ, Swartz MS, Hargett A et al. A review of borderline disorders. North Carolina Journal of Mental Health 1986; 22:3-8.
7. Swartz MS, Blazer DG, George LK et al. Somatization disorder in a community population. American Journal of Psychiatry 1986; 143:1403-1408.
8. Swartz MS, McCracken JR. The emergency management of conversion disorders. Hospital and Community Psychiatry 1986; 37:828-832.
9. Swartz MS, Blazer DG, Woodbury M et al. Somatization disorder in a U.S. southern community: Use of a new procedure for analysis of medical classification. Psychological Medicine 1986; 16:595-609.
10. Fraker WW, Taska RJ, Swartz MS et al. Working with the families of hospitalized borderline patients. North Carolina Journal of Mental Health 1986; 22:19-25.
11. Swartz MS, Hughes D, George LK et al. Developing a screening index for community studies of somatization disorder. Journal of Psychiatric Research 1986; 20:335-343.
12. Swartz MS. What constitutes a psychiatric emergency: Clinical and legal dimensions. Bulletin American Academy of Psychiatry and Law 1987; 15:57-68.
13. Swartz MS, Hargett AB, Fraker WW et al. Special clinical problems in the management of psychiatric inpatients. Psychiatric Medicine 1987; 4:373-386.

14. Swartz MS, Blazer DG, Woodbury M et al. A study of somatization disorder in a community population utilizing Grade of Membership analysis. Psychiatric Developments 1987; 3:219-237.
15. Swartz MS, Hughes D, Blazer DG et al. Somatization disorder in the community: A study of diagnostic concordance in three diagnostic systems. Journal of Nervous and Mental Disease 1987; 175:26-33.
16. Blazer DG, Swartz MS, Woodbury M et al. Depressive symptoms and depressive diagnoses in a community population: Use of a new procedure for analysis of psychiatric classification. Archives of General Psychiatry 1988; 12:1078-1084.
17. Swartz MS. Malpractice liability for suicide: Clinical, legal, and rhetorical dimensions. Psychiatric Forum 1988; 14:45-53.
18. Swartz MS, Landerman R, Blazer DG et al. Somatization symptoms in the community: A rural/urban comparison. Psychosomatics 1989; 30:44-53.
19. Swartz MS, Blazer DG, George LK et al. Identification of borderline personality disorder with the NIMH Diagnostic Interview Schedule. American Journal of Psychiatry 1989; 146:200-205.
20. Melges FT, Swartz MS. Oscillating attachments in borderline personality disorder: A cybernetic and interpersonal model. American Journal of Psychiatry 1989; 146:1115-1120.
21. Jordan BK, Swartz MS, George LK et al. Antisocial and related disorders in a southern community: An application of Grade of Membership analysis. Journal of Nervous and Mental Disorders 1989; 9:529-541.
22. Taska RJ, Hargett AB, Fraker WW, Swartz MS. Written therapeutic contracts with borderline patients. Psychiatric Forum 1989; 14:58-60.
23. Escobar JI, Manu P, Matthews D, Lane T, Swartz MS, Canino G. Medically unexplained physical symptoms, somatization disorder and abridged somatization: Studies with the Diagnostic Interview Schedule. Psychiatric Developments 1989; 7(3):235-45.
24. Swartz MS, Carroll BJ, Blazer DG. In response to: Psychiatric diagnosis as reified measurement. Journal of Health and Social Behavior 1989;30:33-34.
25. Swartz MS. Legal aspects of emergent treatment refusal. Psychiatric Forum 1989; 15(1):28-35.
26. Winfield I, George LK, Swartz MS et al. Sexual assault and psychiatric disorders among women in a community population. American Journal of Psychiatry 1990; 147:335-341.
27. Swartz MS, Blazer DG, George LK. Estimating the prevalence of borderline personality disorder in the community. Journal of Personality Disorders 1990; 4:257-272.
28. Turnbull JE, George LK, Landerman R, Swartz MS et al. Age of onset and social outcomes associated with specific categories of psychiatric disorder. Journal of Consulting and Clinical Psychology 1990; 58(6):832-839.
29. Swartz MS, Melville ML, George LK et al. Benzodiazepine anti-anxiety agents: Prevalence and correlates of use in a southern community. American Journal of Public Health 1991; 81(5):592-596.
30. Greenfield SF, Swartz MS, Landerman R, George LK. The longterm psychosocial effects of childhood exposure to parental problem drinking. American Journal of Psychiatry 1993; 150(4):608-613.

31. Kessler RC, McGonagle KA, Swartz MS, Blazer DG, Nelson C. Sex and depression in the National Comorbidity Survey I: Lifetime prevalence, chronicity and recurrence. Journal of Affective Disorders 1993; 29:85-96.
32. Kessler RC, McGonagle KA, Nelson CB, Hughes M, Swartz MS, Blazer DG. Sex and depression in the National Comorbidity Survey II: Cohort effects. Journal of Affective Disorders 1994; 30:15-26.
33. Blazer DG, Kessler R, McGonagle K, Swartz MS. The prevalence and distribution of major depression in a national community sample: The National Comorbidity Survey. American Journal of Psychiatry 1994; 151:979-986.
34. Swartz MS, Santos AB. Taking Issue: State purchasing cooperatives for psychotropic medication. Hospital and Community Psychiatry 1994; 45:741.
35. Tweed JL, George LK, Blazer D, Swartz M, MacMillan J. Adult onset of severe and pervasive antisocial behavior: A distinct syndrome? Journal of Personality Disorders 1994; 8(3), 192-202.
36. Landerman R, Burns BJ, Swartz MS, Wagner HR, George LK. The relationship between insurance coverage and psychiatric disorder in predicting use of mental health services. American Journal of Psychiatry 1994; 151, 1785-1790.
37. Swartz MS, Burns BJ, Hiday VA, George LK, Swanson J, Wagner HR. New directions in research on involuntary outpatient commitment. Psychiatric Services 1995; 46(4), 381-385.
38. Borum R, Swartz M, Swanson, J. Assessing and managing violence risk in clinical practice. Journal of Practical Psychiatry and Behavioral Health 1996; 2(4), 205-215.
39. Swanson J, Borum R, Swartz M, Monahan J: Psychotic symptoms and disorders and the risk of violent behavior in the community. Criminal Behavior and Mental Health 1996; 6, 309-329.
40. Kessler RC, Nelson CB, McGonagle KA, Liu J, Swartz M, Blazer DG. Comorbidity of DSM-III-R major depressive disorder in the general population: Results from the U.S. National Comorbidity Survey. British Journal of Psychiatry suppl.1997; 30:17-30.
41. Swartz MS, Burns BJ, George LK, Swanson J, Hiday VA, Borum R, Wagner HR. The ethical challenges of a randomized clinical trial of involuntary outpatient commitment. The Journal of Mental Health Administration 1997; 24, 35-43.
42. Swanson JW, Swartz MS, George LK, Burns BJ, Hiday VA, Borum R, Wagner HR. Interpreting the effectiveness of involuntary outpatient commitment: A conceptual model. Journal of American Academy of Psychiatry and Law 1997; 25, 5-16.
43. Swanson J, Estroff S, Swartz M, Borum R, Lachicotte W, Zimmer C, Wagner R. Violence and severe mental disorder in clinical and community populations: The effects of psychotic symptoms, comorbidity, and lack of treatment. Psychiatry 1997; 60, 1-22.
44. Hiday VA, Swartz MS, Swanson JW, Wagner HR. Patient perceptions of coercion in mental hospital admission. International Journal of Psychiatry and Law 1997; 20(2):227-241.
45. Borum R, Swanson JW, Swartz MS, Hiday VA. Substance abuse, violent behavior and police encounters among persons with severe mental disorders. Journal of Contemporary Criminal Justice 1997; 13(3):236-249.
46. Kessler RC, Zhao S, Blazer DG, Swartz M. Prevalence, correlates, and course of minor depression and major depression in the national comorbidity survey. Journal of Affective

- Disorders 1997; 45(1,2):19-30.
47. Kessler RC, Anthony JC, Blazer DG, Bromet E, Eaton WW, Kendler K, Swartz M, Wittchen HU, Zhao S. The U.S. National Comorbidity Survey: Overview and future directions. Epidemiologia e Psichiatria Sociale 1997;6(1):4-16.
  48. Swartz MS, Swanson JW, Hiday VA, Borum R, Wagner HR, Burns BJ. Violence and severe mental illness: The effects of substance abuse and nonadherence to medication. American Journal of Psychiatry 1998; 155(2):226-231.
  49. Blazer DG, Kessler RC, Swartz MS. Epidemiology of recurrent major and minor depression with a seasonal pattern: The National Comorbidity Survey. British Journal of Psychiatry 1998; 172:164-167.
  50. Williams J, Swartz M. Treatment boundaries in the case management relationship: A clinical case and discussion. Community Mental Health Journal 1998; 34(3): 299-311.
  51. Swartz MS, Wagner HR, Swanson JW, Burns BJ, George LK, Padgett DK. Comparing use of public and private mental health services: The enduring barriers of race and age. Community Mental Health Journal 1998; 34(2): 133-143.
  52. Hiday VA, Swartz MS, Swanson J, Borum R, Wagner HR. Male - female differences in the setting and construction of violence among people with severe mental illness. Social Psychiatry & Psychiatric Epidemiology 1998; 33(1): S68-S74.
  53. Swartz MS, Swanson JW, Hiday VA, Borum R, Wagner HR, Burns BJ. Taking the wrong drugs: The role of substance abuse and medication noncompliance and violence among severely mentally ill individuals. Social Psychiatry & Psychiatric Epidemiology 1998; 33(1):S75-S80.
  54. Swanson J, Swartz M, Estroff S, Borum R, Wagner R, Hiday V. Psychiatric impairment, social contact, and violent behavior: Evidence from a study of outpatient-committed persons with severe mental disorder. Social Psychiatry & Psychiatric Epidemiology 1998; 33(1): S86-S94.
  55. Estroff SE, Swanson JW, Lachicotte WS, Swartz M, Bolduc. Risk reconsidered: Targets of violence in the social networks of people with serious psychiatric disorders. Social Psychiatry & Psychiatric Epidemiology 1998; 33(1): S95-S101.
  56. Swanson J, Borum R, Swartz M, Hiday V. Violent behavior preceding hospitalization among persons with severe mental illness. Law & Human Behavior 1999; 23(2):185-204.
  57. Borum R, Swartz M, Riley S, Swanson J, Hiday VA, Wagner R. Consumer perceptions of involuntary outpatient commitment. Psychiatric Services 1999; 50(11): 1489-1491.
  58. Swartz MS, Swanson JW, Wagner HR, Burns BJ, Hiday VA, Borum R. Can involuntary outpatient commitment reduce hospital recidivism? Findings from a randomized trial with severely mentally ill individuals. American Journal of Psychiatry 1999; 156 (12):1968-1975.
  59. Swartz MS, Hiday VA, Wagner HR, Swanson JW, Borum R, Burns BJ. Measuring coercion under involuntary outpatient commitment: Initial findings from a randomized controlled trial. Research in Community & Mental Health 1999; 10:57-77.
  60. Amaya-Jackson L, Davidson JR, Hughes DC, Swartz MS, George LK, Blazer DG. Functional impairment and utilization of services associated with post-traumatic stress in the community. Journal of Traumatic Stress 1999; 12(4):709-724.
  61. Swanson JW, Swartz MS, Borum R, Hiday VA, Wagner HR, Burns BJ. Involuntary

- outpatient commitment and reduction of violent behaviour in persons with severe mental illness. British Journal of Psychiatry 2000; 176:324-331.
62. Thompson M, George LK, Swartz MS, Burns BJ, Swanson JW. Race, role responsibility and relationship: Understanding the experience of caring for the severely mentally ill. Research in Community & Mental Health 2000; 11:157-185.
  63. Swanson JW, Tepper MC, Backlar P, Swartz MS. Psychiatric advance directives: An alternative to coercive treatment? Psychiatry 2000; 63(2):160-177.
  64. Rosenberg SD, Osher FC, Swartz M, Essock SM, Butterfield MI, Constantine N, Wolford GL, Salyers M. Prevalence of HIV, Hepatitis B and Hepatitis C in people with severe mental illness. American Journal of Public Health 2001; 91(1):31-37.
  65. Swanson JW, Borum R, Swartz MS, Hiday VA, Wagner HR, Burns BJ. Can involuntary outpatient commitment reduce arrests among persons with severe mental illness? Criminal Justice & Human Behavior 2001, 28(2):156-189.
  66. Swartz MS, Monahan J. Introduction: Special section on involuntary outpatient commitment. Psychiatric Services 2001, 52(3):323-324.
  67. Swartz MS, Swanson JW, Hiday VA, Wagner HR, Burns BJ, Borum R. A randomized controlled trial of outpatient commitment in North Carolina. Psychiatric Services 2001, 52(3):325-329.
  68. Steadman HJ, Gounis K, Dennis D, Hopper K, Roche B, Swartz M, Robbins PC. Assessing the New York City outpatient commitment pilot program. Psychiatric Services 2001, 52(3):330-336.
  69. Monahan J, Bonnie R, Appelbaum P, Hyde P, Steadman H, Swartz M. Mandated community treatment: Beyond outpatient commitment. Psychiatric Services 2001, 52(8):1198-1205.
  70. Swartz MS, Swanson JW, Wagner HR, Burns BJ, Hiday VA. Effects of involuntary outpatient commitment and depot antipsychotics on treatment adherence in persons with severe mental illness. Journal of Nervous & Mental Disease 2001, 189(9):583-592.
  71. Hiday JA, Swanson JW, Swartz MS, Borum R, Wagner HR. Victimization: A link between mental illness and violence? International Journal of Psychiatry & Law 2001, 24:559-572.
  72. Goodman LA, Salyers MP, Mueser KT, Rosenberg SD, Swartz M, Essock SM, Osher FC, Butterfield MI. Recent victimization in women and men with severe mental illness: Prevalence and correlates. Journal of Traumatic Stress 2001, 14(4):615-632.
  73. Connor KM, Davidson JRT, Hughes DC, Swartz MS, Blazer DG, George LK. The impact of borderline personality disorder on post-traumatic stress in the community: A study of health status, health utilization and functioning. Comprehensive Psychiatry 2002, 43(1):41-48.
  74. Swartz MS, Wagner HR, Swanson JW, Hiday VA, Burns BJ. The perceived coerciveness of involuntary outpatient commitment: Findings from an experimental study. Journal of the American Academy of Psychiatry and the Law 2002, 30(2):207-217.
  75. Hiday VA, Swartz MS, Swanson JW, Borum R, Wagner HR. Impact of outpatient commitment on victimization of people with severe mental illness. American Journal of Psychiatry 2002, (159)8:1403-1411.
  76. Swanson JW, Swartz MS, Essock SM, Osher FC, Wagner HR, Goodman LA, Rosenberg SD, Meador KG. The socio-environmental context of violent behavior in persons with severe mental illness. American Journal of Public Health 2002, 92(9):1523-1531.

77. Stroup S, Swartz M, Appelbaum P. Concealed medicines for people with schizophrenia: A U.S. perspective. Schizophrenia Bulletin 2003, 28(3):537-542.
78. Compton SN, Swanson JW, Wagner HR, Swartz MS, Burns BJ, Elbogen, E. Involuntary outpatient commitment and homelessness in persons with severe mental illness. Journal of Mental Health Services Research 2003, 5(1), 27-38.
79. Swanson JW, Swartz MS, Hannon MJ, Elbogen EB, Wagner HR, McCauley BJ, Butterfield MI. Psychiatric advance directives: A survey of persons with schizophrenia, family members, and treatment providers. International Journal of Forensic Mental Health 2003, 2(1):73-86.
80. Wagner HR, Swartz MS, Swanson JW, Burns BJ. Does involuntary outpatient commitment lead to more intensive treatment? Journal of Psychology, Public Policy and Law 2003, 9(1):145-158.
81. Swartz MS, Swanson JW, Monahan J. Endorsement of personal benefit of outpatient commitment among persons with severe mental illness. Psychology, Public Policy and Law 2003, 9(1):70-93.
82. Rosenberg SD, Swanson JW, Wolford GL, Osher FC, Swartz MS, Essock SM, Butterfield MI, Marsh BJ, Stover E, and the Five-Site Health & Risk Study Research Committee. The five-site health and risk study of blood-borne infections among persons with severe mental illness. Psychiatric Services 2003, 54(6):827-835.
83. Essock SM, Dowden S, Constantine NT, Katz L, Swartz MS, Meador KG, Osher FC, Rosenberg SD, and the Five-Site Health & Risk Study Research Committee. Risk factors for HIV, Hepatitis B, and Hepatitis C among persons with severe mental illness. Psychiatric Services 2003, 54(6):836-841.
84. Osher FC, Goldberg RW, McNary SW, Swartz MS, Essock SM, Butterfield MI, Rosenberg SD, and the Five-Site Health and Risk Study Research Committee. Substance abuse and the transmission of Hepatitis C among persons with severe mental illness. Psychiatric Services 2003, 54(6):842-847.
85. Butterfield MI, Bosworth HB, Meador KG, Stechuchak KM, Essock SM, Osher FC, Goodman LA, Swanson JW, Bastian LA, Horner RD and the Five-Site Health and Risk Study Research Committee. Gender differences in Hepatitis C infection and risks among persons with severe mental illness. Psychiatric Services 2003, 54(6):848-853.
86. Swartz MS, Swanson JW, Hannon MJ, Bosworth HS, Osher FC, Essock SM, Rosenberg SD and the Five-Site Health and Risk Study Research Committee. Regular sources of medical care among persons with severe mental illness at risk for Hepatitis C infection. Psychiatric Services 2003, 54(6):854-859.
87. Brunette MF, Drake RE, Marsh BJ, Torrey WC, Rosenberg SD and the Five-Site Health and Risk Study Research Committee. Responding to blood-borne infections among persons with severe mental illness. Psychiatric Services 2003, 54(6):860-865.
88. Swartz MS, Swanson JW, Hannon MJ. Detection of illicit substance use among persons with schizophrenia by radioimmunoassay of hair. Psychiatric Services, 54(6):891-895.

In press:

1. Mueser KT, Salyers MP, Rosenberg SD, Goodman LB, Essock SM, Osher FC, Swartz MS, Butterfield M. & 5 Site Health & Risk Study Research Committee. Interpersonal trauma and posttraumatic stress disorder in patients with severe mental illness: Demographic, clinical and health correlates. Schizophrenia Bulletin.
2. Elbogen EB, Swanson JW, Swartz MS. Third-party money management for persons with psychiatric disabilities: Prevalence, type of payee, and client characteristics. Psychiatric Services.
3. Elbogen E, Swanson JW, Swartz MS. Effects of legal mechanisms on perceived coercion and treatment adherence in persons with severe mental illness. Journal of Nervous and Mental Disease.
4. Swanson JW, Swartz, MS, Elbogen E. Effectiveness of atypical antipsychotic medications in reducing violent behavior among persons with schizophrenia in community-based treatment. Schizophrenia Bulletin.
5. Swartz MS, Swanson JW, Wagner HR, Hannon MJ, Burns BJ, Shumway M. Preference assessments of outpatient commitment for persons with schizophrenia: Views of four stakeholder groups. The American Journal of Psychiatry.
6. Groff A, Swartz MS, Burns BJ, Swanson JW, Wagner HR. Caregiver strain among persons with severe mental illness under involuntary outpatient commitment. Journal of Nervous and Mental Disease.
7. Elbogen EB, Swanson JW, Swartz MS. Psychiatric disability, the use of financial leverage, and perceived coercion in mental health services. International Journal of Forensic Mental Health.

Submitted for Review:

1. The HIV/AIDS Treatment Adherence, Health Outcomes and Cost Study Group. Integrated services for people living with HIV/AIDS, mental health, and substance abuse disorders: The HIV/AIDS treatment adherence, health outcomes, and cost study. AIDS Care.
2. Butterfield MI, Bosworth HB, Stechuchak KM, Frothingham R, Bastian LA, Meador KG, Swartz M, Horner RD. Racial differences in Hepatitis B and Hepatitis C and associated risk behaviors in veterans with severe mental illness. Journal of the National Medical Association.
3. Swartz MS, Swanson JW, Hannon MJ. Does fear of coercion keep people away from mental health treatment? Evidence from a survey of persons with schizophrenia and mental health professionals. Behavioral Sciences and the Law.
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4. Kessler RC, McGonagle KA, Swartz M, Blazer DG, Nelson CB. Sex and depression in the National Comorbidity Survey I: Lifetime prevalence, chronicity and recurrence in Year Book of Psychiatry & Applied Mental Health Annual. Edited by JA Talbott. 1995; 7:193.
5. Swartz MS, Olfson M, Carpenter D, Kahn DA, Frances A. Policy issues in Treatment of Schizophrenia 1999. Edited by JP McEvoy, PL Scheifler & A Frances. Journal of Clinical Psychiatry supp. 11, 1999; 60: 30-72.
6. Stroup TS, Lieberman JA, Swartz MS, McEvoy JP. Comparative effectiveness of antipsychotic drugs in schizophrenia. Dialogues in Clinical Neuroscience, 2000; 2(4):373-379.
7. Swanson JW, Swartz MS, Borum R, Hiday VA, Wagner HR, Burns BJ. Involuntary outpatient commitment and reduction of violent behavior in persons with severe mental illness. Year Book of Psychiatry & Applied Mental Health 2001:173-174.
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#### Chapters in books:

1. Swartz MS. Anxiety disorders in Essentials of Clinical Psychiatry. Edited by J.I. Walker. Philadelphia: Lippincott, 1985.
2. Swartz MS, Blazer DG. The distribution of affective disorder in the elderly in Affective Disorders in the Elderly. Edited by E. Murphy. London: Churchill Livingstone, 1986.
3. Swartz MS, Landerman R, George LK et al. Somatization disorder in Psychiatric Disorders in America. Edited by L. Robins and D. Regier. New York: The Free Press, 1991.
4. Blazer DG, Hughes D, George LK, Swartz MS et al. Generalized anxiety disorder in Psychiatric Disorders in America. Edited by L. Robins & D. Regier. New York: The Free Press, 1991.
5. Escobar JI, Swartz MS, Rubio-Stipec M et al. Medically unexplained symptoms: Distribution, risk factors, and comorbidity in Current Concepts of Somatization: Research and Clinical Perspectives. Edited by L.J. Kirmayer & J.M. Robbins. Washington: APA Press, 1991.
6. Swartz MS. Personality disorders in Geriatric Review Syllabus. Edited by American Geriatric Society, 1991.
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8. Sibert T, Swartz MS. Personality disorders in the elderly in The Psychiatry of Old Age: An International Textbook. Edited by J.R.M. Copeland, M.T. Abou-Saleh, & D.G. Blazer. Chichester: John Wiley and Sons, 1994.

9. Tiihonen J, Swartz MS. Pharmacological intervention for preventing violence among the mentally ill with secondary alcohol and drug-use disorders in Violence among the Mentally Ill: Effective Treatments and Management Strategies. Edited by S. Hodgins. Dordrecht, The Netherlands: Kluwer Academic Publishers, 2000.
10. Borum R, Swartz M, Swanson J, Wiseman S. Compliance with remediation attempts in HCR – 20 Violence Risk Management Companion Guide. Edited by K.S. Douglas, C.D. Webster, S.D. Hart, D. Eaves, & J.R.P. Ogloff. Burnaby, B.C.: Mental Health, Law, and Policy Institute, Simon Fraser University, 2002.
11. Swartz MS, Swanson JW. Involuntary out-patient commitment in the United States: Practice & controversy in Care of the Mentally Disordered Offender in the Community. Edited by A. Buchanan. New York: Oxford University Press, 2002.
12. Mankad MV, Swartz MS. Medication compliance in Psychiatry: Behavioral Sciences and Clinical Essentials, 2<sup>nd</sup> edition. Edited by A. Tasman and J.A. Lieberman. London: John Wiley & Sons, 2002.

4) Submitted for Publication:

1. Hiday VA, Swartz MS, Swanson JW, Borum R. Coercion in mental health care in Ethics & Coercion. Edited by Blacklar P. APA Press.

5) Books: None

6) Other:

1. Swartz MS. Involuntary Civil Commitment. Dial Access, Southern Medical Association, 1993.
2. Swartz MS. The Right To Refuse Treatment. Dial Access, Southern Medical Association, 1993.
3. Burns BJ, Swartz MS (Executive Producers); Harron B (Producer). Hospital Without Walls (videotape). Dept. of Psychiatry, Duke University Medical Center, Durham, NC, 1993.
2. Swartz MS (Executive Producer), Harron B (Producer). Uncertain Journey (videotape). Dept. of Psychiatry, Duke University Medical Center, Durham, NC, 1995.
3. Swartz MS (Executive Producer), Harron B (Producer). Never Too Far: Rural Outreach for Severe Mental Illness (videotape). Dept. of Psychiatry, Duke University Medical Center, Durham, NC, 1999.
4. Swartz MS (Executive Producer), Harron B (Producer). Go For It: Careers in Healthcare (videotape). Duke AHEC Program, Duke University Medical Center, Durham, NC, 2000.
5. Swartz MS, Monahan J, Appelbaum PS. Confusion about outpatient commitment. [Letter]. Psychiatric Services 52(8):1103-1104, 2001.

Selected Presentations:

1. Coercion, Compliance and Outpatient Commitment. Grand Rounds presentation, Western

- State Hospital, Staunton, VA, July 1995.
2. Comparing the Use of Public and Private Mental Health Services Use. Poster presentation, NIMH Conference on Mental Health Services Research. September 1995 with Swanson J, Wagner HR, Burns BJ, George L.
  3. Uncertain Journey: Families Coping with Serious Mental Illness. Videotape presentation, Third Annual N.C. Conference on Innovative Approaches in Psychiatric Rehabilitation, John Umstead Hospital, Butner, NC, September 1995 with Harron B.
  4. Community-Based Treatment of Psychotic Patients. Workshop presentation, Clinical Update Series: Diagnosis and Treatment of Psychotic Disorders, Duke University Medical Center, Durham, NC, October 1995 with McEvoy J, VanderZwaag C.
  5. The Duty to Warn and Protect. Workshop presentation, Clinical Update Series: Assessment and Management of Violence in Clinical Practice, Duke University Medical Center, Durham, NC, November 1995 with Borum R, Johnson B.
  6. Uncertain Journey: Families Coping with Serious Mental Illness. Videotape presentation, 1996 American Psychiatry Association Annual Meeting, New York, NY, May 7, 1996.
  7. Coercion, Compliance and Outpatient Commitment. Annual Meeting of the American Academy of Psychiatry and Law, San Juan, Puerto Rico, October 1996.
  8. Involuntary Outpatient Commitment: Comparing New York and North Carolina. Clinical Update, Bellevue Hospital, New York University Medical Center, April 24, 1997.
  9. Never Too Far: Rural Outreach for Severe Mental Illness. NIMH Rural Mental Health Research Services Meeting, Oxford, Mississippi, April 26, 1997.
  10. Coercion, Compliance and Outpatient Commitment. Grand Rounds Presentation, Department of Psychiatry, Dartmouth Medical Center, May 6, 1997.
  11. Taking the Wrong Drugs: Noncompliance, Illicit Drug Use and Violence. International Conference on Mental Disorders and Violence, Leipzig, Germany, June 26, 1997.
  12. Should States Embrace Involuntary Outpatient Commitment? Legislative Colloquium, Institute for Law & Psychiatry & Public Policy, University of Virginia, Charlottesville, VA, July 11, 1997.
  13. Crafting an AHEC Response to Mental Health Managed Care. National AHEC Conference, San Antonio, TX, August 11, 1997.
  14. Eugene A. Hargrove Award Lecture: Families Coping with Serious Mental Illness, N.C. Clinical Update, Southern Pines, NC, September 8, 1997.
  15. Emerging Forms of Coercion: Outpatient Commitment, Research Issues on Coercion in the Provision of Mental Health Services: International Perspectives, University of NC-Chapel Hill, Chapel Hill, NC, November 4, 1997.
  16. Blending Mental Health & Substance Abuse Treatment: The Role of PACT, 5th Annual Southeast Conference: Co-Occurring Mental & Substance Related Disorders, Jacksonville, FL, April 3, 1998.
  17. What is the Evidence Regarding Involuntary Outpatient Commitment? Legislative Colloquium, Nevada State Legislature, Las Vegas, NV, April 8, 1998.
  18. Never Too Far: Rural Outreach for Serious Mental Illness. American Psychiatric Association Annual Meeting, Toronto, Canada, June 2, 1998.
  19. Coercion in Psychiatry, Round Table Discussion, American Psychiatric Association Annual

- Meeting, Toronto, Canada, June 2, 1998.
20. Does Involuntary Outpatient Commitment reduce Readmissions Among Violent Patients? International Congress on Law & Psychiatry, Paris, France, July 1, 1998.
  21. The Effectiveness of Involuntary Outpatient Commitment, Grand Rounds presentation, Department of Psychiatry, Duke University Medical Center, Durham, NC, September 24, 1998.
  22. The Effectiveness of Involuntary Outpatient Commitment, Grand Rounds presentation, John Umstead Hospital, Butner, NC, September 24, 1998.
  23. The Effectiveness of Involuntary Outpatient Commitment, Grand Rounds presentation, Department of Psychiatry, Medical College of Virginia, Richmond, VA, October 9, 1998.
  24. What is the Evidence Regarding Involuntary Outpatient Commitment? Legislative Colloquium, Florida State Legislature, Tallahassee, FL, November 10, 1998.
  25. Reviewing Recent Evidence about the Effectiveness of Involuntary Outpatient Commitment in North Carolina, Poster Session, NIMH, Challenges for the 21<sup>st</sup> Century: Mental Health Services Research, Washington, DC, July 19, 2000.
  26. Reviewing the Controversy about Involuntary Outpatient Commitment, ACT 2000 Conference, Speaker, Kingston, Ontario, November 20, 2000.
  27. The Ethical Challenges of Psychiatric Advance Directives, Grand Rounds presentation, Dorethea Dix Hospital, November 30, 2000.
  28. The Effectiveness of Involuntary Outpatient Commitment, Grand Rounds presentation, Rockland Psychiatric Center, Orangeburg, NY, May 2, 2001.
  29. The Burden of Mental Illness in Rural Communities, Keynote Speaker, National Association for Rural Mental Health, Wilmington, NC, June 24, 2001.
  30. Outpatient Commitment: The Data and the Controversy, Paper presentation, Annual Meeting of the International Congress for Law & Mental Health, Montreal, Quebec, July 4, 2001.
  31. The Social-Environmental Context of Violent Behavior in Persons with Severe Mental Illness, Paper presentation. Annual Meeting of International Congress for Law & Mental Health, Montreal, Quebec, July 4, 2001.
  32. Violence Risks in Persons with Schizophrenia, Speaker, Alfred Noyes Symposium, Philadelphia, PA, October 19, 2001.
  33. Involuntary Outpatient Commitment: The Data and the Controversy, Mark A. Nordenberg Visiting Lectureship, University of Pittsburgh School of Law, November 8, 2001.
  34. Emerging Trends in Treating Persons with Severe Mental Illness and Aggressive Behavior, Invited Speaker, Interventions for Persons with Mental Disorders and Antisocial Behavior, Department of Psychology, University of Montreal, November 30, 2001.